

IFW/

PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

First Named Inventor	Shunpei YAMAZAKI et al.					
Group Art Unit	2826					
Examiner Name	Benjamin T. Liu					
Attorney Docket Number	0756 7219					

10/712,062

November 14, 2003

Total Number of Pages in This S		Attorney Docket Numb	er	0756-7218			
		NCLOSUF	RES (check all that appl	<i>y)</i>			
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Rec Information Disclosure Stat Certified Copy of Priority Document(s) Response to Missing Parts Incomplete Application Response to Missing P under 37 CFR 1.52 or	(for an Drawing Declara Attorne Licensi Petition Provision Power Change Addres Termin	ation and Power of y ng-related Papers to Convert to a onal Application of Attorney, Revocation of Correspondence s al Disclaimer st for Refund imber of CD(s)  The Commissioner is	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosures  1.  2.  3.  4.  5.  6.				
		RE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	binson, Re	g. No. 38,285 Property Law Office, reet					
Signature	3%						
Date	July 8, 20	08					
		CERTIFI	CATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.							
Type or printed name	Tiffa	any S. Banks					
Signature		May	J. Bart	D	July 8, 2008		

**Application Number** 

**Filing Date** 

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(571) 434-6789

July 8, 2008

Telephone

Date

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE	TRA	NS	MIT ]	ΓΑΙ
]	FOR	$\mathbf{F}\mathbf{Y}$	2008	

Effective 09/30/2007. Patent fees are subject to annual revision. ☐ Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

2826 Group Art Unit (\$) 180.00

Complete if Known 10/712,062 Application Number Filing Date November 14, 2003 Shunpei YAMAZAKI et al. First Named Inventor Benjamin T. Liu Examiner Name 0756-7218 Attorney Docket No.

METHOD OF PAYMENT				FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge indicated			3. A	3. ADDITIONAL FEES						
	credit any overpay	•		Larg Enti		Small Entit				
Deposit Account	50-2280		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Number			1051	130	2051	65	Surcharge - late filing fee or oath			
Donasia			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Deposit Account Name	Robinson In Law Office	tellectual Property	1053	130	1053	130	Non-English specification			
			1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
	Any Additional Fee		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
	7 CFR 1.16 and 1.1 verpayments	7 and _	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
	nt claims small enti CFR 1.27	ity status.	1251	120	2251	60	Extension for reply within first month			
2. 🗷 Payme	ent Enclosed:		1252	460	2252	230	Extension for reply within second month			
Check		Money D Other	1253	1,050	2253	525	Extension for reply within third month			
_ Circer	- cream care	Order	1254	1,640	2254	820	Extension for reply within fourth month			
	FEE CAL	CULATION	1255	2,230	2255	1,115	Extension for reply within fifth month			
1. BASIC FIL	ING FEE		1401	510	2401	255	Notice of Appeal			
Large Entity	Small Entity		1402	510	2402	255	Filing a brief in support of an appeal			
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description Fee Paid	1403	1,030	2403	515	Request for oral hearing			
1001 310	2001 155	Utility filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1111 510	2111 255	Search fee	1452	510	2452	255	Petition to revive - unavoidable			
1311 210	2311 105	Examination fee	1453	1,540	2453	770	Petition to revive - unintentional			
	ets/260 for each add		1501	1,440	2501	720	Utility issue fee (or reissue)			
Over 100 Siles	ets/200 for each add	intolial 30	1502	820	2502	410	Design issue fee			
		L	1503	1,130	2503	565	Plant issue fee			
			1462	400	1462		Petitions, Group I			
	SUBTO	OTAL (1) (\$)	1463 1464	200 130	1463 1464		Petition, Group II Petitions, Group III			
2. EXTRA CL	AIM FEES		1807	50	1807		Processing fee under 37 CR 1.17(q)			
_	Ext	Fee from tra Claims below Fee Paid	1806	180	1806		Submission of Information Disclosure Stmt	\$180.00		
Total Claims	-20** =	X \$50 =	8021	40	8021		Recording each patent assignment per property (times number of properties)			
Independent Claims	-3** =	X \$210 =	1809	810	2809	405	Filing a submission after final rejection (37 CFR § 1.129(a))			
Multiple Depende	ent	=	1810	810	2810	405	For each additional invention to be examined (37 CFR § 1.129(b))			
Large Entity	Small Entity	For Description	1801	810	2801	405	Request for Continued Examination (RCE)			
ree ree Code	Fee Fee (\$) Code	Fee Description (\$)	1802	900 <sub>.</sub>	1802	900	Request for expedited examination of a design application			
1202 50	2202 25	Claims in excess of 20	Other	fee (spe	cify) _	_				
1201 210	2201 105	Independent claims in excess of 3								
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SUBTOTAL (2) (5)				Sufficient postage as first class mail in an equelope addressed to Commissioner for Parents, P.O. Box 1430, Alexandria, VA 22313-1450, on July 8, 2008.  April 2 July 2 July 3 July 8, 2008.						
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SUBMITTED	BY						Complete (if applicable)			

Registration No.

(Attorney/Agent)

Eric J. Robinson

Name (Print/Type)

Signature

38,285



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	PTO/SB/21 (08-00)
Application Number	10/712,062
Filing Date	November 14, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2826
Examiner Name	Benjamin T. Liu
Attorney Docket Number	0756-7218

ENCLOSURES (check all that apply)							
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Reques  Express Abandonment Re  Information Disclosure State  Certified Copy of Priority Document(s)  Response to Missing Parts Incomplete Application  Response to Missing Funder 37 CFR 1.52 or	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosures 1. 2. 3. 4. 5. 6.						
	SIGNATU	RE OF APPLICANT, ATTORNEY, (	DR AGENT				
Firm or Individual name	P.C.						
Signature	3%						
Date	July 8, 20	08					
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I hereby certify that this corresp class mail in an envelope addre indicated below.	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.						
Type or printed name	Tiffa	fany S. Banks					
Signature		Mayd Bad	Date July 8, 2008				

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July 8, 2008

Date

PTO/SB/17 (10-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid MB/control number.

		<del></del>	Complete if Known	<del></del>	
		Application Number	10/712,062	复	
FEE TRANSMITTAL FOR FY 2008  Effective 09/30/2007. Patent fees are subject to annual revision. Applicant Claims small entity status. See 37 CFR 1.27.  TOTAL AMOUNT OF PAYMENT (\$) 180.00	Filing Date	November 14, 2003	JUL 10 2000 \$		
FOR FY 200	8	First Named Inventor	Shunpei YAMAZAKI et al.	2008	
. — — — — —	_	Examiner Name	Benjamin T. Liu	Kie.	
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METHOD OF PAYMENT				FEE CALCULATION (continued)							
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		Fee from Extra Claims below	Fee Paid	1806	180	1806	180	Submission of Info	rmation Disclosu	re Strnt	\$180.00
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Name (Print/T)	vpe)	Eric J. Robinson			rney/A			,20)	Telephone	(3/1/434-0/6	

Signature



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/712,062

Filed: November 14, 2003

For: SEMICONDUCTOR DEVICE AND

METHOD OF FABRICATING SAME

) Group Art Unit: 2826

) Examiner: Benjamin T. Liu

**CERTIFICATE OF MAILING** 

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Agays Balo

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

Except as provided below, in accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, or (2) no item of information contained in the

Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

U.S. Patent Nos. 5,966,594; 6,210,997; 6,465,284 (submitted herewith), and 5,663,077 (previously cited in an Information Disclosure Statement filed November 14, 2003) are in the family of JP 07-094756. These U.S. patents were not cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

U.S. Patent No. 5,620,910 (previously cited in an Information Disclosure Statement filed November 14, 2003) is in the family of JP 08-008439.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. **PMB 955** 21010 Southbank Street Potomac Falls, Virginia 20165 (571) 434-6789